



— Greg Sommer Co-founder and CEO, Sandstone Diagnostics

OVERVIEW

Entrepreneurial Separation to Transfer Technology (ESTT) is a valuable tool which allows Sandia National Laboratories to transfer technology to the private sector and Sandia employees to leave the Labs in order to start up new technology companies or help expand existing companies. Entrepreneurs are guaranteed reinstatement by Sandia if they choose to return to the Labs.

| RESULIS* | | In NM | Outside NM |
|----------------------------|----|-------|------------|
| Companies affected by ESTT | 99 | | |
| - Start-up companies | 49 | 42 | 7 |
| - Expansion companies | 50 | 23 | 27 |

| Sandians who left on ESTT | 145 | |
|---------------------------|-----|-----|
| - To start up a business | 62 | 43% |
| - To expand a business | 83 | 57% |
| - Returned from ESTT | 41 | 28% |
| - Terminated employment | 98 | 68% |
| - Currently on ESTT | 6 | 4% |

ECONOMIC IMPACT*

| Jobs created (since 1994) | 379 |
|----------------------------|--------|
| Number of employees (2012) | 1550 |
| Average salary (2012) | \$80K |
| Sales revenue (2012) | \$212M |
| Investment (2008-2012) | |
| - Equipment | \$40M |
| - Goods and services | \$277M |

Two-thirds of the companies commercialized a technology as a result of ESTT.

^{*}Based on 33 Survey Respondents

^{*}Since ESTT began in 1994

SUCCESS STORIES

Dan Neal founded WaveFront Sciences based on wavefront sensing metrology technologies licensed from Sandia. The company, which grew from three employees to over 50, is now part of Abbott Medical Optics, a division of Abbott Laboratories.

 Abbott estimates that one million patients have improved the quality of their vision thanks to its products.

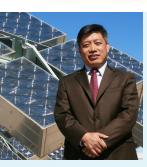


The technology developed at Sandia, commercialized by Abbott, has helped to improve the vision of many, many people. The ESTT program made this possible.

— Dr. Daniel Neal

Research Fellow, Abbott Medical Optics





Hong Hou joined EMCORE Corporation as Director of Research and Technology to utilize the technical expertise he developed at Sandia and to commercialize products based on Sandia technologies related to multi-junction solar cells. He is now President and CEO of EMCORE, one of the world's leading manufacturers of high-efficiency solar cells and solar panels for space power applications.

 EMCORE solar cells and solar panels have powered over 115 spacecraft with zero on-orbit failures and the company employs over 300 people in the Sandia Science & Technology Park (SS&TP).



The experience I gained during my time at Sandia and while part of the ESTT program, as well as access to the vast talent pool and technological resources of the Labs, were invaluable assets in the launch of EMCORE's Photovoltaics division.

— Dr. Hong Hou President and CEO, EMCORE Corporation

Todd Christenson founded HT MicroAnalytical in order to apply his specialized expertise in high aspect ratio microfabrication (HARM) technology gained while at Sandia to the creation of the world's smallest electromechanical switches.

HT MicroAnalytical has recently expanded into a new 18,000-square-foot facility, employs 12 people, and
is partnering with Rosenberger, Inc., a worldwide leader in connector solutions.



During my years at Sandia, I worked on a technology that formed the basis of HT MicroAnalytical. The ESTT program gave me the opportunity to commercialize this specialized area of technology which was not available outside of the Labs. The company, the only one of its kind, now serves commercial and military markets.

— Dr. Todd Christenson

President and CTO, HT MicroAnalytical, Inc.







